DOCUMENT TITLE Conflict Minerals Reporting Template SHEET 1 of 8



REVISION HISTORY

A change in the first digit of the revision number (e.g., 1.0 to 2.0) signifies a set of major improvements have occurred which will likely include different data reporting requirements. Changes to the first or second decimal place (e.g., "2.01" to "2.02") indicate only minor changes have been made to the template which are not expected to result in substantial changes to the data being reported. The addition of a letter (e.g., "a", or "b", or "c") following the revision number indicate that only the standard smelter list has been updated from the prior version.

			smelter list has been updated from the	prior version.
REVISION	ORIGINATOR	RELEASE DATE	DESCRIPTION OF FUNCTIONAL CHANGE	UPDATES TO SMELTER LIST
1	Jared Connors, Intel	July 19th, 2011	New Release	
2	Jared Connors, Intel	Aug 29th, 2012	Major update to functionality including: addition of the known smelter list, addition of declaration scope including product tab, and added and modified multiple questions and / or their responses.	New.
2.01	Jared Connors, Intel	Dec 21st, 2012	List of changes to the template functionality: 1. Modified Smelter List tab to prevent smelter rows from wrapping text. This was being caused by the hidden formula in column A which allows for a software vendor to easily grab the smelter IDs. 2. Changed protection settings on the Smelter List tab to allow users to delete rows. This allows users to delete rows with incorrect entries within the smelter tab. Ensured that columns could not be mistakenly deleted in the process.	 Corrected spelling of "Duoluoshan" id # 3Ci-NN01 Corrected spelling of "Mitsubishi Materials Corporation" id # 1JPN039 Changed "Gejiu Non-Ferous" to its proper name "Gejiu Non-Ferous Metal Processing Co. Ltd." Changed "Mitsubishi Material" to its proper name "Mitsubishi Materials Corporation" Changed "Niotan" to "Kemet Blue Powder" Added "Niohon Material Co. LTD" as a cold refiner Added "Kojima Chemical" as a gold refiner Added "Kojima Chemical" as a gold refiner Added "Confluet Metal Co. LtD" as a gold refiner Added "Confluet Area Metal Co. Ltd." as a gold refiner Added "Complus Metal Refining Inc." as a gold refiner Added "Complus Thatalum and Niobium Smeltry" as a tantalum refiner Added "Conghua Tantalum and Niobium Smeltry" as a tantalum refiner Added "Alimetals Resources" as a refinery Added "Alimetals Resources" as a refinery Updated "Anti Metal-Xou Tin Co. Ltd." as a melter Removed "Ganzhou Tin Co. Ltd." as a smelter Removed "Ganzhou Huaxing Tungsten" as a smelter Removed "Schuam Metals & Materials Imp & Exp Co as a tungsten smelter Removed "Ganzhou Gend Sea W & Mo Group Co., Ltd." as a tungsten smelter Added "Aluman Chenzhou Miting Group Co" as a tungsten smelter Added "Juna New Metals Co Ltd" as a tungsten smelter Added "Junan New Metals Co Ltd" as a tungsten smelter
2.02	Jared Connors, Intel	March 29th, 2013	 Added new selection to the metals dropdown lists of smelter list tab "Smelter not yet identified". Moved "smelter not listed" to the bottom of each metals dropdown list Fixed error in Checker sheet to eliminate display of text "one or more smelters have been added to smelter list" when rows are deleted Rewrite of T&Cs Adding Italian translation Allow for deletion of rows in Smelter List tab Removed hover over text in column C of Smelter List tab Inserted additional rows for data entry on the Smelter List tab to 2,500 rows Mada smelter ID numbers visible in Smelter I ist tab Removed language selection from individual tabs, all controlled on Declaration tab Updated template to prevent users from adding tabs to the worksheet Added statement at the top of the revision history tab clarifying purpose of .0x revision updates 	 Added the following aliases to Ohio Precious Metals "OPM Metals", "USPM", "United States Precious Metals" Added "ALMT" as tungsten smelter Added "ALMT" as tungsten smelter Added "Shanqdong Zhaojin Group" as an alias of "Shandong Zhaojin Gold & Silver Refinery Co., Ltd" Added "Shandong Zhaoyuan Gold Argentine refining company limited" as an alias of "Zhongyuan Gold Smelter of Zhongjin Gold Corporation" Added "Shandong Zhaoyuan Gold Argentine refining company limited" as an alias of "Zhongyuan Gold Smelter of Zhongjin Gold Corporation" Added "SEMPSA" as an alias for "Umicore Brasil Ltda" Added "Pan Pacific Copper Co., LTD." as a gold smelter Added "Pan Pacific Copper Co., LTD." as a gold smelter Added "Pan Pacific Copper Co., LTD." as a gold smelter Added "Pan Pacific Copper Co., LTD." as a gold smelter Added "Pan Pacific Copper Co., LTD." as a gold smelter Added "Pan Pacific Copper Co., LTD." as a gold smelter Added "Pan Pacific Copper Co., LTD." as a lias of "PT Timido Inter Nusa" Added "CV Jus Tindo" as alias of "CV Jus Tindo" Added "PT Timido InterNusa" as alias of "Luzhou China Tin" Added "GEJIU ZILU MINING&SMELTING CO., LTD." as alias of "Luzhou China Tin" Added "Jiangxi Tungsten Co Ltd" as alias of "Jangxi Tungsten Industry Group Co Ltd" Added "Umwu Xianggu" as a tin smelter Added "DT Bangka Tin Industry" as tin smelter Added "PT Ban
2.03	Akimasa Yamakawa, JEITA / John Piyler, BlackBerry	July 12th, 2013	Resolved Excel 2003 incompatibility with programming for multiple languages. Winor corrections to row number references in the instructions. Added translation on checker sheet for the Column Name "Hyperlink to Source" Corrected Japanese translation of "authorized representative" and "representative" on Declaration worksheet. Adjusted row spacing of misc cells to allow for different lengths of translated text and comments. Removed the symbols for the metals on the standard smelter list (e.g., "Sn"). Deleted text "If no for all metals, you are done with this survey." from question 1 on the Declaration worksheet.	1. Added "Fujian Jinxin Tungsten Co., Ltd." as tungsten refiner 2. Added "Dayu Weiliang Tungsten Co., Ltd." as tungsten refiner 3. Added "Xinhai Rendan Shaoguan Tungsten Co., Ltd." as tungsten refiner 4. Added "Hunan Chun-Chang Nonferrous Smelting & Concentrating Co., Ltd." as tungsten refiner 5. Added "Jiangxi Minmetals Gao'an Non-ferrous Metals Co., Ltd." as tungsten refiner 6. Corrected the spelling of "Allydne" to "Alldyne" 7. Corrected the spelling of "Allydne" to "Alldyne" 1. Added "Taki Chemicals" as tantalum smelter 12. Added "Tantalite Resources" as tantalum smelter 13. Corrected naming inconsistency of "Ohio Precious Metals LLC." on standard smelter list and alias table. 14. Corrected maing inconsistency of "The Refinery of Shandong Gold Mining Co., Ltd" on standard smelter stant and isa table. 15. Added "King-Tan Tantalum Industry Ltd" as tantalum smelter 16. Added "King-Tan Tantalum Industry Ltd" as tantalum smelter 16. Added "Korgorp Silmet" as an alias of "Coopersanta" 18. Corrected the spelling of one standard smelter 19. Added "Korg-Tan Tantalum Industry Ltd" as tantalum smelter 19. Added "Korg-Tan Tantalum Industry Ltd" as tantalum smelter 19. Added "Korg-Tan Tantalum Industry Ltd" as tantalum smelter 19. Added "Korg-Tan Tantalum Industry Ltd" as tantalum smelter 19. Added "Korg-Tan Tantalum Industry Ltd" as tantalum smelter 19. Added "Korg-Tan Tantalum Industry Ltd" as tantalum smelter 19. Added "Korg-Tan Tantalum Industry Ltd" as tantalum smelter 19. Corrected the spelling of "Malaysia Smelting Corporation (MSC)"

				 Added "Fenix Metals" as tin smelter Changed alias "Bangka Tin" from "PT Tambang Timah" to "PT Timah" Added "Ketapang" as an alias of "PT Bangka Putra Karya" Added "Kundur" as an alias of "PT Tambang Timah" Added "Kundur" as an alias of "PT Tambang Timah" Added "TT" as an alias of "PT Tambang Timah" Added "CooperMetal" as an alias of "PT Tambang Timah"
2.03a	John Plyler, BlackBerry	July 25th, 2013	No functional change.	Corrected the spelling of "ALMT" to "A.L.M.T. Corp." Added "A.L.M.T. Tungsten Corp", "Allied Material Corp", and "ALMT" as aliases of "A.L.M.T. Corp." S. Corrected country of "A.L.M.T. Corp." to "Japan" and smelter ID to "4JPN020" Changed alias "Wolfram" for "Wolfram Company CJSC" to "Wolfram [Russia]" Added "Wolfram (Austria)" as a alias of "Wolfram Bergbau und Hütten AG" Added "Kennametal Inc." as a lungster refiner
3.00	Akimasa Yamakawa, JEITA, and John Plyler, BlackBerry, under the direction of the CFSI Due Diligence Workgroup	April 9th, 2014		6. Added Yennametal Inc" as a tungsten refiner Added the following gold refines: 1. Bauer Walser AG 2. C. Hafter GmbH + Co. KG 3. China National Gold Group Corporation 4. Colt Refining 5. Days Non-Ferrous Metalas Mining Ld. 6. Doduco 7. Eco-System Recycling Co., Ld. 8. Granus Geneime Material H-Tech Co Ltd 9. Guanoctons. Jindino Gold I inited 11. Hunan Cherzhou Mining Indiuty Group 12. Kenneott Utah Copper LLC 13. Ingbao Jinyuan Tonghu Refinery Co. Ltd. 14. Luoyang Zijin Yinhui Metal Smell Co. Ltd. 15. Metalor Technologies (Singapore) Pte. Ltd. 16. Metalor Technologies (Singapore) Pte. Ltd. 16. Sociar Group, Inc. 19. Tongling modernosis Metal Industry Co., Ltd 17. Pengia Pengang Gold Initized 11. Tongling modernosis Metala Group Co., Ltd 12. Yunan Copper Industry Co. Ltd 13. Tongling modernosis Metala Group Co., Ltd 14. Loogang Zijin Yinhui Metal Smell Co. Ltd 14. Sociaruste Group, Inc. 19. Tongling modernosis Metala Group Co., Ltd 14. Sociaruste Group, Inc. 19. Tongling modernosis Metala Group Co., Ltd 14. Sociaruste Group, Inc. 19. Tongling modernosis Metala Group Co., Ltd 14. Sociaruste Group, Inc. 15. Changen Saco Sa. 16. Changen Saco Sa. 17. Changen Saco Saco Sa. 17. Shangaro Jangyan Metalensis Co., LtD 18. Metaloxia Data Tantukm Motolum Co. Ltd 19. Guangina Jiangu Metala Group Co., Ltd. 21. Sunaha Jangu Mangu Metalasis Co., LtD 31. Manguri Minorais Metalasis Co., LtD 31. Manguri Minorais Metalasis Co., Ltd 33. Maguri Minorais Metalasis Co., Ltd. 33. Maguri Minorais Metalasis Lipas LTDA 40. Manufacturing (Thalando) Co., Ltd. 31. Guangi Xinsheng Tungsten Industry Co., Ltd. 31. Janguri Richsea New Materials Co., Ltd. 31. Janguri Richsea New Materials Co., Ltd. 31. Janguri Richsea New Materials Co., Ltd. 31. Sanguri Nichseng Tungsten Industry Co., Ltd. 31. Guangui Xinsheng Tungsten Industry Co., Ltd. 31. Guangui Xinsheng Tungsten Industry Co., Ltd. 32. Maguri Xinsheng Tungsten Industry Co., Ltd. 33. Stappi Xinsheng Tungsten Industry Co., Ltd. 34. PT Manaka L
3.01	Akimasa Yamakawa, JEITA, and John Plyler, BlackBerry, under the direction of the CFSI Due Diligence Workgroup	May 30th, 2014	 Removed the ability to overwrite the "Declaration Scope or Class" field. Users are restricted to only use the drop-down options. Addressed issue with Checker incorrectly showing "Description of Scope" as missing data when a user selects "B. Product (or List of Products)" as the Declaration Scope. Programmed Checker to show missing data when response to question B is "Yes", unless a url is entered the corresponding "Comments" field. 	 Added the tin smelter "Melt Metais e Ligas S/A" Added the tungsten refiner "Vietnam Youngsun Tungsten Industry Co., Ltd" Corrected the name of tin smelter "Liuzhou China Tin" to "China Tin Group Co., Ltd." Corrected the name of tin smelter "PT Timah" to "PT Timah (Persero), Tbk"

3.02	John Plyler, BlackBerry, under the direction of the CFSI Due Diligence Data Collection Workgroup	Nov 7th, 2014	 Revisions to the German language translation throughout. Correction of the Japanese language translation for Question 4 on the Declaration worksheet. 	This version incorporates numerous changes to the smelter list as reflected in the Standard Smelter List as of November 7, 2014. The latest version of the Standard Smelter List is available at: http://www.conflictfreesourcing.org.
4.0	CFSI Due Diligence Data Collection Workgroup	Apr 30th, 2015	Replaced the Standard Smelter Names tab with the Smelter Reference List tab, displaying common alternate names for smelters as well as location information. Major update to synchronize the CFSI CMRT with the data fields in the newly revised IPC-1755 Standard. Changes include: 1. Changes to question text throughout 2. Expansion of instructions and definitions. 3. Updated translations of all modified text.	This version incorporates numerous changes to the smelter list as reflected in the Standard Smelter List as of April 17, 2015. The latest version of the Standard Smelter List is available at: http://www.conflictfreesourcing.org.
4.01	CFSI Due Diligence Data Collection Workgroup	June 12th, 2015	Minor revisions to correct reported issues including those related to error checking on the "Checker" and "Smelter List" tabs.	This version incorporates a few changes to the smelter list as reflected in the Standard Smelter List as of June 12, 2015. The latest version of the Standard Smelter List is available at: http://www.conflictfreesourcing.org.
4.01a	CFSI Due Diligence Data Collection Workgroup	August 6th, 2015	No functional change. Elemetal CID corrected to read CID001322.	This version incorporates a few changes to the smelter list as reflected in the Standard Smelter List as of August 5, 2015. The latest version of the Standard Smelter List is available at: http://www.conflictfreesourcing.org.
4.01b	CFSI Due Diligence Data Collection Workgroup	November 16th, 2015	No functional change.	This version incorporates a few changes to the smelter list as reflected in the Standard Smelter List as of November 6, 2015. The latest version of the Standard Smelter List is available at: http://www.conflictfreesourcing.org.
4.10	CFSI CMRT Team	May 12, 2017	Corrections to all bugs and errors Connections to all bugs and errors Contain the provided of the	This version incorporates a few changes to the smelter list as reflected in the Standard Smelter List as of March 23, 2016. The latest version of the Standard Smelter List is available at: http://www.conflictfreesourcing.org.
4.20	CFSI CMRT Team	November 30, 2016	1. Corrections to all bugs and errors 2. Enhancements which do not conflict with IPC-1755 a. Additions and clarifications in the instructions and definitions b. Update to ISO short names for countries 3. Translation improvements 4. Updates to the Smelter Reference List and Standard Smelter List	This version incorporates a few changes to the smelter list as reflected in the Standard Smelter List as of October 6, 2016. The latest version of the Standard Smelter List is available at: http://www.conflictfreesourcing.org/conflict-free-smelter-program/exports/cmrt-export/.
5.0	CFSI CMRT Team	May 12, 2017	 Corrections to all bugs and errors Conformance to IPC-1755 in the wording of the following questions: Q. 1, Q. 2, Q. 5, A, F, I (formerly J); removal of former question G a. Additions and clarifications in the instructions and definitions b. Update to ISO short names for countries Conformance to IPC-1755 use of ASCII character set for Standard Smelter Name in hidden column R on the smelter list Addition of ISO Country Codes and State/Province Codes in hidden columns S and T on the smelter list Renaming of "Smelter Reference List" to "Smelter Look-up" Updates to translations for all modified text Updates to the Smelter Look-up List and Standard Smelter List 	This version incorporates a few changes to the smelter list as reflected in the Standard Smelter List as of March 17, 2017. The latest version of the Standard Smelter List is available at: http://www.conflictfreesourcing.org/conflict-free-smelter-program/exports/cmrt-export/.
5.01	CFSI CMRT Team	June 21, 2017	1. Corrections to checker tab errors	This version incorporates a few changes to the smelter list as reflected in the Standard Smelter List as of March 17, 2017. The latest version of the Standard Smelter List is available at: http://www.conflictfreesourcing.org/conflict-free-smelter-program/exports/cmrt-export/.
5.10	CFSI CMRT Team	December 1, 2017	1. Corrections to all bugs and errors 2. Enhancements which do not conflict with IPC-1755 a. Update to ISO short names for countries, states / provinces 3. Updates to the Smelter Reference List and Standard Smelter List 4. Change to .xlsx format	This version incorporates a few changes to the smelter list as reflected in the Standard Smelter List as of September 29, 2017. The latest version of the Standard Smelter List is available at: http://www.conflictfreesourcing.org/conflict-free-smelter-program/exports/cmrt-export/.
5.11	RMI MRT Team	April 27, 2018	1. Corrections to all bugs and errors 2. Enhancements which do not conflict with IPC-1755 a. Update to ISO short names for countries, states / provinces 3. Updates to the Smelter Reference List and Standard Smelter List	This version incorporates a few changes to the smelter list as reflected in the Standard Smelter List as of March 6, 2018. The latest version of the Standard Smelter List is available at: http://www.responsiblemineralsinitiative.org/responsible-minerals-assurance- process/exports/cmrt-export/.
5.12	RMI MRT Team	April 26, 2019	Corrections to all bugs and errors Enhancements which do not conflict with IPC-1755 Update to ISO short names for countries, states / provinces Updates to the Smelter Reference List and Standard Smelter List	This version incorporates a few changes to the smelter list as reflected in the Standard Smelter List as of February 27, 2019. The latest version of the Standard Smelter List is available at: http://www.responsiblemineralsinitiative.org/responsible-minerals-assurance- process/exports/cmrt-export/.
6.0	RMI MRT Team	May 13, 2020	1. Corrections to all bugs and errors 2. Conformance to IPC-1755, which incorporated EU Conflict Minerals Regulation, in the wordings of the following questions: Q 4 (newly added), Q H(formerly Q I), removal of former question C u. Update to ISO short names for countries, states / provinces 3. Updates to the Smelter Reference List and Standard Smelter List	This version incorporates a few changes to the smelter list as reflected in the Standard Smelter List as of March 3, 2020. The latest version of the Standard Smelter List is available at: http://www.responsiblemineralsinitiative.org/responsible-minerals-assurance- process/exports/cmrt-export/.
6.01	RMI MRT Team	May 19, 2020	 Minor revisions to correct reported issues including those related to "Product List" tab. 	This version incorporates a few changes to the smelter list as reflected in the Standard Smelter List as of March 3, 2020. The latest version of the Standard Smelter List is available at: http://www.responsiblemineralsinitiative.org/responsible-minerals-assurance- process/exports/cmrt-export/.

6.10	RMI MRT Team	April 28, 2021	Corrections to all bugs and errors Updates to Smelter Reference List and Standard Smelter List Translation improvements	This version incorporates a few changes to the smelter list as reflected in the Standard Smelter List as of February 19, 2021. The latest version of the Standard Smelter List is available at: http://www.responsiblemineralsinitiative.org/responsible-minerals-assurance- process/exports/cmrt-export/.
6.20	RMI MRT Team	April 27, 2022	Corrections to all bugs and errors Updates to Smelter Reference List and Standard Smelter List Translation improvements	This version incorporates a few changes to the smelter list as reflected in the Standard Smelter List as of February 24, 2022. The latest version of the Standard Smelter List is available at: http://www.responsiblemineralsinitiative.org/responsible-minerals-assurance- process/exports/cmrt-export/.
6.21	RMI MRT Team	May 6, 2022	Minor revisions to correct reported issues including those related to "Smelter List" tab.	This version incorporates a few changes to the smelter list as reflected in the Standard Smelter List as of February 24, 2022. The latest version of the Standard Smelter List is available at: http://www.responsiblemineralsinitiative.org/responsible-minerals-assurance- process/exports/cmrt-export/.
6.22	RMI MRT Team	May 11, 2022	 Minor revisions to correct reported issues including those related to "Product List" tab. 	This version incorporates a few changes to the smelter list as reflected in the Standard Smelter List as of February 24, 2022. The latest version of the Standard Smelter List is available at: http://www.responsiblemineralsinitiative.org/responsible-minerals-assurance- process/exports/cmrt-export/.
6.3	RMI MRT Team	May 5, 2023	Corrections to all bugs and errors Cupdates to tips on the Instructions tabs Enhancements which do not conflict with IPC-1755 Lupdate to ISO short names for countries, states / provinces Updates to Smelter Reference List and Standard Smelter List	This version incorporates a few changes to the smelter list as reflected in the Standard Smelter List as of March 20, 2023. The latest version of the Standard Smelter List is available at: http://www.responsiblemineralsinitiative.org/responsible-minerals-assurance- process/exports/cmrt-export/.
6.31	RMI MRT Team	May 26, 2023	 Minor revisions to correct reported issues including those related to "Declaration", "Smelter List", and "Smelter Look-up" tabs. 	This version incorporates a few changes to the smelter list as reflected in the Standard Smelter List as of March 20, 2023. The latest version of the Standard Smelter List is available at: http://www.responsiblemineralsinitiative.org/responsible-minerals-assurance- process/exports/cmrt-export/.
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