

DOCUMENT TITLE

Conflict Minerals Reporting Template

SHEET

1 of 8

**REVISION HISTORY**

A change in the first digit of the revision number (e.g., 1.0 to 2.0) signifies a set of major improvements have occurred which will likely include different data reporting requirements. Changes to the first or second decimal place (e.g., "2.01" to "2.02") indicate only minor changes have been made to the template which are not expected to result in substantial changes to the data being reported. The addition of a letter (e.g., "a", or "b", or "c") following the revision number indicate that only the standard smelter list has been updated from the prior version.

REVISION	ORIGINATOR	RELEASE DATE	DESCRIPTION OF FUNCTIONAL CHANGE	UPDATES TO SMELTER LIST
1	Jared Connors, Intel	July 19th, 2011	New Release	
2	Jared Connors, Intel	Aug 29th, 2012	Major update to functionality including: addition of the known smelter list, addition of declaration scope including product tab, and added and modified multiple questions and / or their responses.	New.
2.01	Jared Connors, Intel	Dec 21st, 2012	List of changes to the template functionality: 1. Modified Smelter List tab to prevent smelter rows from wrapping text. This was being caused by the hidden formula in column A which allows for a software vendor to easily grab the smelter IDs. 2. Changed protection settings on the Smelter List tab to allow users to delete rows. This allows users to delete rows with incorrect entries within the smelter tab. Ensured that columns could not be mistakenly deleted in the process.	1. Added "CV DS Jaya Abadi" an alias to "PT Stanindo Inti Perkasa" 2. Added "Mentok" as an alias to "PT Tambang Timah" 3. Corrected spelling of "Duoluoshan" id # 3CHN001 4. Corrected spelling of "Mitsubishi Materials Corporation" id # 1JPN039 5. Changed "Gejiu Non-ferrous" to its proper name "Gejiu Non-Ferrous Metal Processing Co. Ltd." 6. Changed "Mitsubishi Material" to its proper name "Mitsubishi Materials Corporation" 7. Changed "Niotan" to "Kemet Blue Powder" 8. Added "Nihon Material Co. LTD" as a gold refiner 11. Added "Kojima Chemical" as a gold refiner 12. Added "Sabin" as a gold refiner 13. Added "United Precious Metal Refining Inc." as a gold refiner 14. Added "Yokohama Metal Co Ltd" as a gold refiner 15. Added "CNMC (Guangxi) PGMA Co., Ltd." as a tin refiner 16. Added "Conghua Tantalum and Niobium Smeltry" as a tantalum refiner 17. Removed "Tantalite Resources" as a refinery 18. Added "Minmetals Ganzhou Tin Co. Ltd." as a tin refinery 19. Updated "ATI Metalworking Products" to its proper full name "ATI Tungsten Materials" 20. Updated "China Minmetals Corp." to its proper full name "China Minmetals Nonferrous" 21. Removed "Ganzhou Huaxing Tungsten" as a smelter 22. Removed "Ganzhou Nonferrous Metals Smelting Co Ltd." as a smelter 23. Removed "Sichuan Metals & Materials Imp & Exp Co as a tungsten smelter 24. Added "Ganzhou Grand Sea W & Mo Group Co., Ltd." as a tungsten smelter 25. Added "Hunan Chenzhou Mining Group Co" as a tungsten smelter 26. Added "Japan New Metals Co Ltd" as a tungsten smelter

2.02	Jared Connors, Intel	March 29th, 2013	<p>1. Added new selection to the metals dropdown lists of smelter list tab "Smelter not yet identified"</p> <p>2. Moved "smelter not listed" to the bottom of each metals dropdown list</p> <p>3. Fixed error in Checker sheet to eliminate display of text "one or more smelters have been added to smelter list" when rows are deleted</p> <p>4. Rewrite of T&Cs</p> <p>5. Adding Italian translation</p> <p>6. Allow for deletion of rows in Smelter List tab</p> <p>7. Removed hover over text in column C of Smelter List tab</p> <p>8. Inserted additional rows for data entry on the Smelter List tab up to 2,500 rows</p> <p>9. Made smelter ID numbers visible in Smelter List tab</p> <p>11. Removed language selection from individual tabs, all controlled on Declaration tab</p> <p>12. Updated template to prevent users from adding tabs to the worksheet</p> <p>13. Added statement at the top of the revision history tab clarifying purpose of .0x revision updates</p>	<p>1. Added the following aliases to Ohio Precious Metals "OPM Metals", "USPM", "United States Precious Metals"</p> <p>2. Added "ALMT" as tungsten smelter</p> <p>3. Added "Suzhou Xingrui Noble" as gold smelter</p> <p>4. Added "Shandong Zhaojin Group" as an alias of "Shandong Zhaojin Gold & Silver Refinery Co., Ltd"</p> <p>5. Added "Shandong Zhaoyuan Gold Argentine refining company limited" as an alias of "Zhongyuan Gold Smelter of Zhongjin Gold Corporation"</p> <p>6. Added "SEMPSA" as an alias for "SEMPSA Joyeria Plateria SA"</p> <p>7. Added "Umicore Brazil Ltd" as an alias for "Umicore Brasil Ltda"</p> <p>8. Added "Pan Pacific Copper Co., LTD." as a gold smelter</p> <p>9. Added "White Solder Metallurgia" as a tin smelter</p> <p>11. Corrected spelling of "jiujiang Tanbre" to "JiuJiang Tambre Co. Ltd."</p> <p>12. Added Torecom as a gold smelter</p> <p>15. Added "PT Tinindo Internusa" as alias of "PT Tinindo Inter Nusa"</p> <p>16. Added "CV Jus Tindo" as alias of "CV JusTindo"</p> <p>17. Added "PT Bellitin Makmur Lestari" and "BML" as alias of "PT BilliTin Makmur Lestari"</p> <p>18. Added "Liuzhou China Tin Group Co., Ltd." as alias of "Liuzhou China Tin"</p> <p>19. Added "PT Timah (Persero) TBK" and "Banka Tin" as alias of "PT Tambang Timah"</p> <p>21. Added "GEJIU ZILI MINING&SMELTING CO.,LTD." as alias of "Gejiu Zi-Li"</p> <p>22. Added "Jiangxi Tungsten Co Ltd" as alias of "Jiangxi Tungsten Industry Group Co Ltd"</p> <p>23. Added "Linwu Xianggui" as a tin smelter</p> <p>24. Added "IMLI" and "Indra Eramulti Logam" as aliases of "PT Bukit Timah"</p> <p>25. Added "CV Gita Pesona" as tin smelter</p> <p>26. Added "PT Tommy Utama" as tin smelter</p> <p>27. Added "PT Bangka Tin Industry" as tin smelter</p> <p>28. Added "PT DS Jaya Abadi" as tin smelter</p> <p>29. Added "PT Panca Mega" as tin smelter</p> <p>31. Added "PT Karimun Mining" as tin smelter</p> <p>32. Added "Cooper Santa" as tin smelter</p> <p>33. Added "Daejin Indus Co. Ltd" as gold smelter</p> <p>34. Added "DaeryongENC" as gold smelter</p> <p>35. Added "Do Sung Corporation" as gold smelter</p> <p>36. Added "Hwasung CJ Co. Ltd" as gold smelter</p> <p>37. Added "Korea Metal" as gold smelter</p>
2.03	Akimasa Yamakawa, JEITA / John Plyler, BlackBerry	July 12th, 2013	<p>1. Resolved Excel 2003 incompatibility with programming for multiple languages.</p> <p>2. Minor corrections to row number references in the instructions.</p> <p>3. Added translation on checker sheet for the Column Name "Hyperlink to Source"</p> <p>4. Corrected Japanese translation of "authorized representative" and "representative" on Declaration worksheet.</p> <p>5. Adjusted row spacing of misc cells to allow for different lengths of translated text and comments.</p> <p>6. Removed the symbols for the metals on the standard smelter list (e.g., "Sn").</p> <p>7. Deleted text "If no for all metals, you are done with this survey." from question 1 on the Declaration worksheet.</p>	<p>1. Added "Fujian Jinxin Tungsten Co., Ltd." as tungsten refiner</p> <p>2. Added "Dayu Weiliang Tungsten Co., Ltd." as tungsten refiner</p> <p>3. Added "Xinhai Rendang Shaoquan Tungsten Co., Ltd." as tungsten refiner</p> <p>4. Added "Hunan Chun-Chang Nonferrous Smelting & Concentrating Co., Ltd." as tungsten refiner</p> <p>5. Added "Jiangxi Minmetals Gao'an Non-ferrous Metals Co., Ltd." as tungsten refiner</p> <p>6. Corrected the spelling of "Allydne" to "Alldyne"</p> <p>7. Corrected the spelling of "Allydne Powder Technologies" to "Alldyne Powder Technologies"</p> <p>8. Corrected the spelling of "Korea Metal" to "Korea Metal Co. Ltd"</p> <p>11. Added "Taki Chemicals" as tantalum smelter</p> <p>12. Added "Tantalite Resources" as tantalum smelter</p> <p>13. Corrected naming inconsistency of "Ohio Precious Metals LLC." on standard smelter list and alias table.</p> <p>14. Corrected naming inconsistency of "The Refinery of Shandong Gold Mining Co., Ltd" on standard smelter list and alias table.</p> <p>15. Added "Molycorp Silmet" as tantalum smelter</p> <p>16. Added "King-Tan Tantalum Industry Ltd" as tantalum smelter</p> <p>17. Added "CooperMetal" as an alias of "Coopersanta"</p> <p>18. Corrected the spelling of "Malaysia Smelting Corp" to "Malaysia Smelting Corporation (MSC)"</p>

				<p>21. Added "Fenix Metals" as tin smelter</p> <p>22. Changed alias "Bangka Tin" from "PT Tambang Timah" to "PT Timah"</p> <p>23. Added "Ketapang" as an alias of "PT Bangka Putra Karya"</p> <p>24. Corrected the Smelter ID of Cooper Santa from "2IDN063" to "2BRA063"</p> <p>25. Added "Kundur" as an alias of "PT Tambang Timah"</p> <p>26. Added "TT" as an alias of "PT Tambang Timah"</p> <p>27. Added "CooperMetal" as an alias of "Coopersanta"</p> <p>28. Corrected spelling of "ALMT" to "A.L.M.T. Corp."</p>
2.03a	John Plyler, BlackBerry	July 25th, 2013	No functional change.	<p>1. Corrected the spelling of "ALMT" to "A.L.M.T. Corp."</p> <p>2. Added "A.L.M.T. Tungsten Corp", "Allied Material Corp", and "ALMT" as aliases of "A.L.M.T. Corp."</p> <p>3. Corrected country of "A.L.M.T. Corp." to "Japan" and smelter ID to "4JPN020"</p> <p>4. Changed alias "Wolfram" for "Wolfram Company CJSC" to "Wolfram [Russia]"</p> <p>5. Added "Wolfram [Austria]" as an alias of "Wolfram Bergbau und Hütten AG"</p> <p>6. Added "Kennametal Inc." as a tungsten refiner</p>
3.00	Akimasa Yamakawa, JEITA, and John Plyler, BlackBerry, under the direction of the CFSI Due Diligence Workgroup	April 9th, 2014	<p>Major update to synchronize the CFSI CMRT with the data fields in the newly published IPC-1755 Standard. Changes include:</p> <ol style="list-style-type: none"> 1. Addition of new company information fields. 2. Two additional due diligence questions and removal of one. 3. Minor changes to question text throughout. 4. Expansion of instructions and definitions. 5. Updated translations of all modified text. 	<p>Added the following gold refiners:</p> <ol style="list-style-type: none"> 1. Bauer Walser AG 2. C. Hafner GmbH + Co. KG 3. China National Gold Group Corporation 4. Colt Refining 5. Daye Non-Ferrous Metals Mining Ltd. 6. Doduco 7. Eco-System Recycling Co., Ltd. 8. Gansu Seemine Material Hi-Tech Co Ltd 9. Guanadona Jindina Gold Limited 11. Hunan Chenzhou Mining Industry Group 12. Kennecott Utah Copper LLC 13. Lingbao Jinyuan Tonghui Refinery Co. Ltd. 14. Luoyang Zijin Yinhui Metal Smelt Co Ltd 15. Metalor Technologies (Singapore) Pte. Ltd. 16. Ohura Precious Metal Industry Co., Ltd 17. Penglai Penggang Gold Industry Co Ltd 18. So Accurate Group, Inc. 19. Tongling nonferrous Metals Group Co.,Ltd 21. YAMAMOTO PRECIOUS METAL CO., LTD. 22. Yunnan Copper Industry Co Ltd <p>Added the following tantalum smelters:</p> <ol style="list-style-type: none"> 1. Changsha South Tantalum Niobium Co Ltd 2. Guangdong Zhiyuan New Material Co., Ltd. 3. Hengyang King Xing Lifeng New Materials Co., LTD 4. Metallurgical Products India (Pvt.) Ltd. 5. Mineração Taboca S.A. 6. Shanghai Jiangxi Metals Co. Ltd 7. Vietnam Tin Yung Base Metal Co., Ltd <p>Added the following tin smelters:</p> <ol style="list-style-type: none"> 1. China Rare Metal Materials Company 2. Estanho de Rondônia S.A. 3. Magnu's Minerais Metais e Ligas LTDA 4. O.M. Manufacturing (Thailand) Co., Ltd. 5. Rui Da Hung <p>Added the following tungsten refiners:</p> <ol style="list-style-type: none"> 1. Ganzhou Jiangwu Ferrotungsten Co., Ltd. 2. Jiangxi Gan Bei Tungsten Co., Ltd. 3. Jiangxi Richsea New Materials Co., Ltd. 4. Jiangxi Tonggu Non-ferrous Metallurgical & Chemical Co., Ltd. 5. Jiangxi Xinsheng Tungsten Industry Co., Ltd. 6. Jiangxi Yaosheng Tungsten Co., Ltd. 7. Malipo Haiyu Tungsten Co., Ltd.

			<p>Removed the following as gold refiners:</p> <ol style="list-style-type: none"> 1. Central Bank of the DPR of Korea 2. Codelco 3. Suzhou Xingrui Noble <p>Removed "Gannon & Scott" as a tantalum smelter</p> <p>Removed the following as tin smelters:</p> <ol style="list-style-type: none"> 1. CV Duta Putra Bangka 2. CV Gita Pesona 3. CV JusTindo 4. CV Makmur Jaya 5. CV Nurjanah 6. Gold Bell Group 7. PT Alam Lestari Kencana 8. PT Babel Surya Alam Lestari 9. PT Bangka Kudai Tin 11. PT BilliTin Makmur Lestari 12. PT Fang Di MulTindo 13. PT HP Metals Indonesia 14. PT Koba Tin 15. PT Panca Mega 16. PT Seirama Tin investment 17. PT Sumber Jaya Indah 18. PT Timah Nusantara 19. PT Tommy Utama <p>Removed the following as tungsten refiners:</p> <ol style="list-style-type: none"> 1. China Minmetals Nonferrous Metals Co Ltd 2. Ganzhou Grand Sea W & Mo Group Co Ltd <p>Changed numerous Standard Smelter Names, including:</p> <ol style="list-style-type: none"> 1. "Pan Pacific Copper Co. LTD" to "JX Nippon Mining & Metals Co., Ltd" 2. "Xstrata Canada Corporation" to "CCR Refinery – Glencore Canada Corporation" 3. "PT Refined Banka Tin" to "PT Refined Bangka Tin" 4. "ATI Tungsten Materials" to "Kennametal Huntsville" 5. "Jiangxi Rare Earth & Rare Metals Tungsten Group Corp" to "Ganzhou Non-ferrous Metals Smelting Co., Ltd." 6. "Kennametal Inc." to "Kennametal Fallon" 7. "Chaozhou Xianglu Tungsten Industry Co Ltd" to "Guangdong Xianglu Tungsten Industry Co., Ltd." <p>Changed numerous "Alias" names of smelters and refiners.</p>
3.01	Akimasa Yamakawa, JEITA, and John Plyler, BlackBerry, under the direction of the CFSI Due Diligence Workgroup	May 30th, 2014	<ol style="list-style-type: none"> 1. Removed the ability to overwrite the "Declaration Scope or Class" field. Users are restricted to only use the drop-down options. 2. Addressed issue with Checker incorrectly showing "Description of Scope" as missing data when a user selects "B. Product (or List of Products)" as the Declaration Scope. 3. Programmed Checker to show missing data when response to question B is "Yes", unless a url is entered the corresponding "Comments" field. <ol style="list-style-type: none"> 1. Added the tin smelter "Melt Metais e Ligas S/A" 2. Added the tungsten refiner "Vietnam Youngsun Tungsten Industry Co., Ltd" 3. Corrected the name of tin smelter "Liuzhou China Tin" to "China Tin Group Co., Ltd." 4. Corrected the name of tin smelter "PT Timah" to "PT Timah (Persero), Tbk"

3.02	John Plyler, BlackBerry, under the direction of the CFSI Due Diligence Data Collection Workgroup	Nov 7th, 2014	1. Revisions to the German language translation throughout. 2. Correction of the Japanese language translation for Question 4 on the Declaration worksheet.	This version incorporates numerous changes to the smelter list as reflected in the Standard Smelter List as of November 7, 2014. The latest version of the Standard Smelter List is available at: http://www.conflictreesourcing.org .
4.0	CFSI Due Diligence Data Collection Workgroup	Apr 30th, 2015	Replaced the Standard Smelter Names tab with the Smelter Reference List tab, displaying common alternate names for smelters as well as location information. Major update to synchronize the CFSI CMRT with the data fields in the newly revised IPC-1755 Standard. Changes include: 1. Changes to question text throughout. 2. Expansion of instructions and definitions. 3. Updated translations of all modified text.	This version incorporates numerous changes to the smelter list as reflected in the Standard Smelter List as of April 17, 2015. The latest version of the Standard Smelter List is available at: http://www.conflictreesourcing.org .
4.01	CFSI Due Diligence Data Collection Workgroup	June 12th, 2015	Minor revisions to correct reported issues including those related to error checking on the "Checker" and "Smelter List" tabs.	This version incorporates a few changes to the smelter list as reflected in the Standard Smelter List as of June 12, 2015. The latest version of the Standard Smelter List is available at: http://www.conflictreesourcing.org .
4.01a	CFSI Due Diligence Data Collection Workgroup	August 6th, 2015	No functional change. Elemental CID corrected to read CID001322.	This version incorporates a few changes to the smelter list as reflected in the Standard Smelter List as of August 5, 2015. The latest version of the Standard Smelter List is available at: http://www.conflictreesourcing.org .
4.01b	CFSI Due Diligence Data Collection Workgroup	November 16th, 2015	No functional change.	This version incorporates a few changes to the smelter list as reflected in the Standard Smelter List as of November 6, 2015. The latest version of the Standard Smelter List is available at: http://www.conflictreesourcing.org .
4.10	CFSI CMRT Team	May 12, 2017	1. Corrections to all bugs and errors 2. Enhancements which do not conflict with IPC-1755 a. Additions and clarifications in the instructions and definitions b. Smelter List Tab: Re-introduction of "smelter not yet identified" c. Smelter List Tab: Inclusion of drop down menu for smelter ID that triggers auto-population of columns B to J 3. Translation improvements and addition of Turkish language 4. Updates to the Smelter Reference List and Standard Smelter List a. Updated lists and corrections b. ASCII character set alignment	This version incorporates a few changes to the smelter list as reflected in the Standard Smelter List as of March 23, 2016. The latest version of the Standard Smelter List is available at: http://www.conflictreesourcing.org .
4.20	CFSI CMRT Team	November 30, 2016	1. Corrections to all bugs and errors 2. Enhancements which do not conflict with IPC-1755 a. Additions and clarifications in the instructions and definitions b. Update to ISO short names for countries 3. Translation improvements 4. Updates to the Smelter Reference List and Standard Smelter List	This version incorporates a few changes to the smelter list as reflected in the Standard Smelter List as of October 6, 2016. The latest version of the Standard Smelter List is available at: http://www.conflictreesourcing.org/conflict-free-smelter-program/exports/cmrt-export/ .

5.0	CFSI CMRT Team	May 12, 2017	<ol style="list-style-type: none"> 1. Corrections to all bugs and errors 2. Conformance to IPC-1755 in the wording of the following questions: Q. 1, Q. 2, Q. 5, A, F, I (formerly J); removal of former question G <ol style="list-style-type: none"> a. Additions and clarifications in the instructions and definitions b. Update to ISO short names for countries 3. Conformance to IPC-1755 use of ASCII character set for Standard Smelter Name in hidden column R on the smelter list 4. Addition of ISO Country Codes and State/Province Codes in hidden columns S and T on the smelter list 5. Renaming of "Smelter Reference List" to "Smelter Look-up" 6. Updates to translations for all modified text 7. Updates to the Smelter Look-up List and Standard Smelter List 	This version incorporates a few changes to the smelter list as reflected in the Standard Smelter List as of March 17, 2017. The latest version of the Standard Smelter List is available at: http://www.conflictreesourcing.org/conflict-free-smelter-program/exports/cmrt-export/ .
5.01	CFSI CMRT Team	June 21, 2017	<ol style="list-style-type: none"> 1. Corrections to checker tab errors 	This version incorporates a few changes to the smelter list as reflected in the Standard Smelter List as of March 17, 2017. The latest version of the Standard Smelter List is available at: http://www.conflictreesourcing.org/conflict-free-smelter-program/exports/cmrt-export/ .
5.10	CFSI CMRT Team	December 1, 2017	<ol style="list-style-type: none"> 1. Corrections to all bugs and errors 2. Enhancements which do not conflict with IPC-1755 <ol style="list-style-type: none"> a. Update to ISO short names for countries, states / provinces 3. Updates to the Smelter Reference List and Standard Smelter List 4. Change to .xlsx format 	This version incorporates a few changes to the smelter list as reflected in the Standard Smelter List as of September 29, 2017. The latest version of the Standard Smelter List is available at: http://www.conflictreesourcing.org/conflict-free-smelter-program/exports/cmrt-export/ .
5.11	RMI MRT Team	April 27, 2018	<ol style="list-style-type: none"> 1. Corrections to all bugs and errors 2. Enhancements which do not conflict with IPC-1755 <ol style="list-style-type: none"> a. Update to ISO short names for countries, states / provinces 3. Updates to the Smelter Reference List and Standard Smelter List 	This version incorporates a few changes to the smelter list as reflected in the Standard Smelter List as of March 6, 2018. The latest version of the Standard Smelter List is available at: http://www.responsiblemineralsinitiative.org/responsible-minerals-assurance-process/exports/cmrt-export/ .
5.12	RMI MRT Team	April 26, 2019	<ol style="list-style-type: none"> 1. Corrections to all bugs and errors 2. Enhancements which do not conflict with IPC-1755 <ol style="list-style-type: none"> a. Update to ISO short names for countries, states / provinces 3. Updates to the Smelter Reference List and Standard Smelter List 	This version incorporates a few changes to the smelter list as reflected in the Standard Smelter List as of February 27, 2019. The latest version of the Standard Smelter List is available at: http://www.responsiblemineralsinitiative.org/responsible-minerals-assurance-process/exports/cmrt-export/ .
6.0	RMI MRT Team	May 13, 2020	<ol style="list-style-type: none"> 1. Corrections to all bugs and errors 2. Conformance to IPC-1755, which incorporated EU Conflict Minerals Regulation, in the wordings of the following questions: Q 4 (newly added), Q H(formerly Q I), removal of former question C <ol style="list-style-type: none"> a. Update to ISO short names for countries, states / provinces 3. Updates to the Smelter Reference List and Standard Smelter List 	This version incorporates a few changes to the smelter list as reflected in the Standard Smelter List as of March 3, 2020. The latest version of the Standard Smelter List is available at: http://www.responsiblemineralsinitiative.org/responsible-minerals-assurance-process/exports/cmrt-export/ .
6.01	RMI MRT Team	May 19, 2020	<ol style="list-style-type: none"> 1. Minor revisions to correct reported issues including those related to "Product List" tab. 	This version incorporates a few changes to the smelter list as reflected in the Standard Smelter List as of March 3, 2020. The latest version of the Standard Smelter List is available at: http://www.responsiblemineralsinitiative.org/responsible-minerals-assurance-process/exports/cmrt-export/ .
6.10	RMI MRT Team	April 28, 2021	<ol style="list-style-type: none"> 1. Corrections to all bugs and errors 2. Updates to Smelter Reference List and Standard Smelter List 3. Translation improvements 	This version incorporates a few changes to the smelter list as reflected in the Standard Smelter List as of February 19, 2021. The latest version of the Standard Smelter List is available at: http://www.responsiblemineralsinitiative.org/responsible-minerals-assurance-process/exports/cmrt-export/ .

6.20	RMI MRT Team	April 27, 2022	1. Corrections to all bugs and errors 2. Updates to Smelter Reference List and Standard Smelter List 3. Translation improvements	This version incorporates a few changes to the smelter list as reflected in the Standard Smelter List as of February 24, 2022. The latest version of the Standard Smelter List is available at: http://www.responsiblemineralsinitiative.org/responsible-minerals-assurance-process/exports/cmrt-export/ .
6.21	RMI MRT Team	May 6, 2022	1. Minor revisions to correct reported issues including those related to "Smelter List" tab.	This version incorporates a few changes to the smelter list as reflected in the Standard Smelter List as of February 24, 2022. The latest version of the Standard Smelter List is available at: http://www.responsiblemineralsinitiative.org/responsible-minerals-assurance-process/exports/cmrt-export/ .
6.22	RMI MRT Team	May 11, 2022	1. Minor revisions to correct reported issues including those related to "Product List" tab.	This version incorporates a few changes to the smelter list as reflected in the Standard Smelter List as of February 24, 2022. The latest version of the Standard Smelter List is available at: http://www.responsiblemineralsinitiative.org/responsible-minerals-assurance-process/exports/cmrt-export/ .
6.3	RMI MRT Team	May 5, 2023	1. Corrections to all bugs and errors 2. Updates to tips on the Instructions tabs 3. Enhancements which do not conflict with IPC-1755 a. Update to ISO short names for countries, states / provinces 4. Updates to Smelter Reference List and Standard Smelter List	This version incorporates a few changes to the smelter list as reflected in the Standard Smelter List as of March 20, 2023. The latest version of the Standard Smelter List is available at: http://www.responsiblemineralsinitiative.org/responsible-minerals-assurance-process/exports/cmrt-export/ .
6.31	RMI MRT Team	May 26, 2023	1. Minor revisions to correct reported issues including those related to "Declaration", "Smelter List", and "Smelter Look-up" tabs.	This version incorporates a few changes to the smelter list as reflected in the Standard Smelter List as of March 20, 2023. The latest version of the Standard Smelter List is available at: http://www.responsiblemineralsinitiative.org/responsible-minerals-assurance-process/exports/cmrt-export/ .

RMI website: (www.responsiblemineralsinitiative.org)

Training and guidance, template, Responsible Minerals Assurance Process conformant smelter list.



Introduction

This Conflict Minerals Reporting Template (Template) is a free, standardized reporting template created by the Responsible Minerals Initiative (RMI). The Template facilitates the transfer of information through the supply chain regarding mineral country of origin and smelters and refiners being utilized and supports compliance to legislation*. The template also facilitates the identification of new smelters and refiners to potentially undergo an audit via the Responsible Minerals Assurance Process.**

The CMRT was designed for downstream companies to disclose information about their supply chains up to but not including the smelter. If you are a 3TG smelter or refiner, in accordance with the RMAP protocols, we recommend you enter your own name in the smelter list tab.

When filling out the form, none of the cell entries should start with "=" or "#."

*** In 2010, the U.S. Dodd-Frank Wall Street Reform and Consumer Protection Act was passed concerning "conflict minerals" originating from the Democratic Republic of the Congo (DRC) or adjoining countries. The SEC published final rules associated with the disclosure of the source of conflict minerals by U.S. publicly traded companies (see the rules at <http://www.sec.gov/rules/final/2012/34-67716.pdf>). The rules reference the OECD Due Diligence Guidance for Responsible Supply Chains of Minerals from Conflict-Affected and High-Risk Areas, (<http://www.oecd.org/daf/inv/mne/GuidanceEdition2.pdf>), which guides suppliers to establish policies, due diligence frameworks and management systems.**

In 2017, Regulation (EU) 2017/821 of the European Parliament and of the European Council of 17 May 2017 was passed concerning supply chain due diligence obligations for Union importers of tin, tantalum and tungsten, their ores, and gold originating from conflict-affected and high-risk areas (see regulation at <https://eur-lex.europa.eu/legal-content/EN/TXT/PDF/?uri=OJ:L:2017:130:FULL&from=EN>)

**** See information on the Responsible Minerals Initiative (www.responsiblemineralsinitiative.org).**

Instructions for completing Company Information questions (rows 8 - 22).

Provide comments in ENGLISH only

Note: Entries with (*) are mandatory fields.

1. Insert your company's Legal Name. Please do not use abbreviations. In this field you have the option to add other commercial names, DBAs, etc.

2. Select your company's Declaration Scope. The options for scope are:

- A. Company-wide**
- B. Product (or List of Products)**
- C. User-Defined**

For "Company-wide", the declaration encompasses the entirety of a company's products or product substances produced by the parent company. Therefore if the user is reporting 3TG data at the company level, they will be reporting conflict minerals data on all products they manufacture.

For Scope selection of Product (or List of Products), a link to the worksheet tab for Product List will be displayed. If this scope is chosen, it is mandatory to list the Manufacturer's Product Number of the products covered under the Scope of this Declaration in Column B of the Product List worksheet. It is optional to list the Manufacturer's Product Name in Column C of the Product List worksheet.

For Scope selection of "User Defined", it is mandatory that the user describes the scope to which the 3TG disclosure is applicable. The scope of this class shall be defined in a text field by the supplier and should be easily understood by customers or the receivers of the document. As an example, companies may provide a link to clarifying information.

This field is mandatory.

3. Insert your company's unique identifier number or code (DUNS number, VAT number, customer-specific identifier, etc.)

4. Insert the source for the unique identifier number or code ("DUNS", "VAT", "Customer", etc).

5. Insert your full company address (street, city, state, country, postal code). This field is optional.

6. Insert the name of the person to contact regarding the contents of the declaration information. This field is mandatory.

7. Insert the email address of the contact person. If an email address is not available, state "not available" or "n/a." A blank field may cause an error in form implementation. This field is mandatory.

8. Insert the telephone number for the contact. This field is mandatory.

9. Insert the name of the person who is responsible for the contents of the declaration information. The authorizer may be a different individual than the contact person. It is not correct to use the words "same" or similar identification to provide the name of the authorizer. This field is mandatory.

10. Insert the title for the Authorizing person. This field is optional.

11. Insert the email address of the Authorizing person. If an email address is not available, state "not available" or "n/a." A blank field may cause an error in form implementation. This field is mandatory.

12. Insert the telephone number for the Authorizing person. This field is optional.

13. Please enter the Date of Completion for this form using the format DD-MMM-YYYY. This field is mandatory.

14. As an example, the user may save the file name as: companyname-date.xls (date as YYYY-MM-DD).

Instructions for completing the eight Due Diligence Questions (rows 24 - 71).

Provide answers in ENGLISH only

These eight questions define the usage, origination and sourcing identification for each of the metals. The questions are designed to collect information about the use of 3TG in the company's product(s) to allow for the determination of regulatory applicability. Responses to these questions shall represent the 'Declaration Scope' selected in the company information section. The responses to the questions in this section can be used to determine applicability and completeness of 3TG reporting.

For each of the eight required questions, provide an answer for each metal using the pull down menu selections. The questions in this section must be completed for all 3TG. If the response for a given metal to questions 1 and 2 is positive, then the subsequent questions shall be completed for that metal and the following due diligence questions (A to H) shall be completed about the company's overall due diligence program.

1. This is the first of two questions for which the response is used to determine whether the 3TG is within the scope of conflict minerals reporting requirements. This question relies upon the guidance provided by the SEC in the final rules regarding the determination if a 3TG is "necessary to the functionality or production" of a product. The SEC guidance is based upon the presumption that a company in the supply chain for a product would not intentionally add a 3TG to that product or any of a product's sub-components if that 3TG was not necessary to the product's generally expected function, use, or purpose. Similarly, the guidance presumes that a 3TG would not be necessary to the production of a product unless it was intentionally included in the production process of that product. The response to this question serves to exclude any trace-level contaminants or naturally-occurring by-products such as tin in steel. This question shall be answered for each 3TG.

This question asks if any conflict minerals are used as raw material, component or additive in a product that you manufacture or contract to manufacture (including raw material and components). Impurities from raw materials, components, additives, abrasives, and cutting tools are outside the scope of the survey.

This question shall be answered for each 3TG. Valid responses to this question are either "yes" or "no". This question is mandatory.

Some companies may require substantiation for a "No" answer that should be entered into the Comment Field.

2. This question shall be answered for each 3TG for each the answer to question 1 is "yes." This is the second of two questions for which the response is used to determine whether the 3TG is within the scope of conflict minerals reporting requirements as described in the SECs final rules regarding the determination if a 3TG is necessary to the functionality or production of a product. This question is dependent upon the question and response to Question 1. This question is intended to identify 3TGs which are intentionally added or included in the manufacturing process of a product where some amount of the 3TG remains in the finished product. This includes 3TGs which may not have been intended to become part of the final product and may not be necessary to the functionality of the product but are only present as residuals of the manufacturing process. In many cases, the manufacturer may have attempted to remove or facilitate consumption of the 3TG during the manufacturing process, however, some amount of the 3TG remains. Should the 3TG, which is added or included during the manufacturing process, be completely removed such that none of the 3TG remains upon the completion of that process, the response to this question would be no.

This question shall be answered for each 3TG. Valid answers to this question are either "yes" or "no". This question is mandatory.

3. This is a declaration that any portion of the 3TGs contained in a product or multiple products originates from the DRC or an adjoining country (covered countries). The answer to this question should be "yes" if any smelter in the supply chain sources from the covered countries, even if those smelters are on the RMI conformant smelter and refiner list. For more information, see RMI's due diligence guidance on conflict minerals here: <http://www.responsiblemineralsinitiative.org/training-and-resources/publications-and-guidance/>.

The answer to this question shall be "yes", "no", or "unknown". It is recommended to substantiate a "Yes" answer in the comments section.

This question is mandatory for a specific metal if the response to Question 1 and 2 is "Yes" for that metal.

4. This is a declaration that any portion of the 3TGs contained in a product or multiple products originates from conflict-affected and high-risk areas (CAHRAs).

The answer to this question should be "yes" if any smelter in the supply chain sources from covered countries or CAHRAs, even if those smelters are on the RMI conformant smelter and refiner list. For more information, see RMI's due diligence guidance on conflict minerals here: <http://www.responsiblemineralsinitiative.org/training-and-resources/publications-and-guidance/>.

The answer to this question shall be yes, no or unknown. It is recommended to substantiate a "yes" answer in the comments section. This question is mandatory for a specific metal if the response to Question 1 and 2 is "yes" for that metal.

5. This is a declaration that identifies whether 3TGs contained in the product(s) necessary to the functionality of that product(s) originate from recycled or scrap sources.

The answer to this question shall be "yes", "no", or "unknown". This question is mandatory for a specific metal if the response to Question 1 and 2 is "Yes" for that metal.

A "Yes" answer means that 100% of the 3TG comes from recycled or scrap sources. A "No" answer means that some of the 3TG does not come from recycled or scrap sources. An "Unknown" answer means that the user does not know whether or not 100% of the 3TG comes from recycled or scrap sources.

6. This is a question to determine whether a company has received conflict minerals disclosures from all direct suppliers reasonably believed to be providing 3TGs contained in the products covered by the scope of this declaration. Permissible responses to this question are:

- 100%**
- Greater than 90%**
- Greater than 75%**
- Greater than 50%**
- 50% or less**
- None**

This question is mandatory for a specific metal if the response to Question 1 and 2 is "Yes" for that metal.

7. This question verifies if the supplier has reason to believe they have identified all of the smelters providing 3TGs in the products covered by this declaration. The answer to this question shall be "Yes" or "No", along with a comment in certain cases, e.g. list of smelters.

This question is mandatory for a specific metal if the response to Question 1 and 2 is "Yes" for that metal.

8. This question verifies that all of the smelters identified to be providing any of the 3TGs contained in the products covered by the scope of this declaration have been reported in this declaration. The answer to this question shall be "yes" or "no" along with a comment in certain cases, e.g. list of smelters. This question is mandatory for a specific metal if the response to Question 1 and 2 is "Yes" for that metal.

Provide comments in the Comment sections as required to clarify your responses.

**Instructions for completing Questions A. – H. (rows 75 - 89). Questions A. through H. are mandatory if the both of responses to Question 1 and 2 are "Yes" for any metal.
Provide answers in ENGLISH only**

The OECD Due Diligence Guidance for Responsible Supply Chains of Minerals from Conflict-affected and High-risk Areas (OECD Guidance) defines "Due Diligence" as "an on-going, proactive and reactive process through which companies can ensure that they respect human rights and do not contribute to conflict". Due diligence should be an integral part of your company's overall conflict free sourcing strategy. Questions A. thru H. are designed to assess your company's conflict-free minerals sourcing due diligence activities. Responses to these questions shall represent the full scope of your company's activities and shall not be limited to the 'Declaration Scope' selected in the company information section.

A. This is a declaration to disclose whether a company has a responsible minerals sourcing policy. The answer to this question shall be "yes" or "no." Comments shall be captured in a question comment field.

This question is mandatory.

B. This is a declaration to disclose whether a company's responsible minerals sourcing policy is available on the company website. The answer to this question shall be "yes" or "no." If "Yes" the user shall specify the URL in a question comment field.

This question is mandatory.

C. This is a declaration to determine whether a company requires their direct suppliers to source 3TG from validated smelters. The answer to this question shall be "yes" or "no." Comments should be captured in a question comment field.

This question is mandatory.

D. Please answer "yes" or "no" to disclose whether your company has implemented responsible sourcing due diligence measures. This declaration is not intended to provide the details of a company's due diligence measures - just that a company has implemented due diligence measures. The aspects of acceptable due diligence measures shall be determined by the requestor and supplier.

Examples of due diligence measures may include: communicating and incorporating into contracts (where possible) your expectations to suppliers on responsible mineral supply chain; identifying and assessing risks in the supply chain; designing and implementing a strategy to respond to identified risks; verifying your direct supplier's compliance to its responsible minerals sourcing policy, etc. These due diligence measure examples are consistent with the guidelines included in the internationally recognized OECD Guidance.

This question is mandatory.

E. This is a question to disclose whether a company requests their supplier to fill out a conflict minerals declaration. Acceptable answers are listed below, in certain cases further explanation may be required, i.e., to provide the format used for collecting information. If the answer is "Yes," using other format the user shall provide a comment in a question comment field. Permissible responses to this question are:

- Yes, in conformance with IPC-1755 [e.g., CMRT]
- Yes, using other format (describe)
- No

This question is mandatory.

F. Please answer "Yes" or "No". In the comments section, you can provide additional information on your approach. Examples could be:

"3rd party audit" - on-site audits of your suppliers conducted by independent third parties.

"Documentation review only" - a review of supplier submitted records and documentation conducted by independent third parties and, or your company personnel.

"Internal audit" - on-site audits of your suppliers conducted by your company personnel.

This question is mandatory.

G. This is a question to disclose whether a company's review process includes corrective action management. The answer to this question shall be "yes" or "no." Comments shall be captured in a question comment field.

This question is mandatory.

H. This is a question to disclose whether a company is subject to the SEC rule, the EU regulation, or both. The answer to this question shall be "yes, with the SEC", "yes, with the EU", "yes with the SEC and the EU" or "no." Comments shall be captured in a question comment field. This question is mandatory. For more information on the SEC rule, please refer to www.sec.gov. For more information on the EU regulation, please refer to <https://eur-lex.europa.eu/legal-content/EN/TXT/PDF/?uri=CELEX:32017R0821&from=EN>.

Instructions for completing the Smelter List Tab.

Provide answers in ENGLISH only

Note: Columns with (*) are mandatory fields

This template allows for smelter identification using the Smelter Look-up. Columns B, and C must be completed in order from left to right to utilize the Smelter Look-up feature.

Use a separate line for each metal/smelter/country combination.

1. Smelter Identification Input Column - If you know the Smelter Identification Number, input the number in Column A (columns B, C, E, F, G, I, and J will auto-populate). Column A does not autopopulate.

2. Metal (*) - Use the pull down menu to select the metal for which you are entering smelter information. This field is mandatory.

3. Smelter Look-up (*) - Select from dropdown. This is the list of known smelters as of template release date. If smelter is not listed select 'Smelter Not Listed'. This will allow you to enter the name of the smelter in Column D. If you do not know the name or location of the smelter, select 'Smelter Not Yet Identified.' For this option, columns D and E will autopopulate to say, 'unknown.' This field is mandatory.

4. Smelter Name (1)- Fill in smelter name if you selected "Smelter Not Listed" in column C. This field will auto-populate when a smelter name is selected in Column C. This field is mandatory.

5. Smelter Country (*) – This field will auto-populate when a smelter name is selected in column C. If you selected "Smelter Not Listed" in column C, use the pull down menu to select the country location of the smelter. This field is mandatory.

6. Smelter Identification - This is a unique identifier assigned to a smelter or refiner according to an established smelter and refinery identification system. It is expected that multiple names or aliases could be used to describe a single smelter or refiner and therefore multiple names or aliases could be associated to a single 'Smelter ID'.

7. Source of Smelter Identification Number - This is the source of the Smelter Identification Number entered in Column F. If a smelter name was selected in Column C using the dropdown box, this field will auto-populate.

8. Smelter Street - Provide the street name on which the smelter is located. This field is optional.

9. Smelter City – Provide the city name of where the smelter is located. This field is optional.

10. Smelter Location: State/Province, if applicable – Provide the state or province where the smelter is located. This field is optional.

11. Smelter Contact Name – The Conflict Minerals Reporting Template (CMRT) is circulated among companies in the requesting company's supply chain to ensure compliance with the OECD Due Diligence Guidance for Responsible Supply Chains of Minerals from Conflict-Affected and High-Risk Areas and the U.S. Securities and Exchange Commission Final Rule on conflict minerals.

If the template is circulated in a country where laws protecting personal information exist, sharing personal contact information in the CMRT may violate related regulations. Therefore, it is recommended that the requesting company take precautions such as obtaining the contact person's permission to share the information with other companies in the supply chain when completing "Smelter Contact Name" and the "Smelter Contact Email" columns.

If you have permission to share this information, please fill in the name of the Smelter Facility Contact person who you worked with.

12. Smelter Contact Email – Fill in the email address of the Smelter Facility contact person who was identified as the Smelter Contact Name. Example: John.Smith@SmelterXXX.com. Please review the instructions for Smelter Contact Name before completing this field.

13. Name of Mine(s) - This field allows a company to define the actual mines being used by the smelter. Please enter the actual mine names if known. If 100% of the smelter's feedstock originates from recycled or scrap sources, enter "Recycled" or "Scrap" in place of the name of the mine and answer "Yes" in Column P.

"RCOI confirmed as per RMI" may be an acceptable answer to this question.

14. Location (Country) of Mine(s) - This is a free form text field that allows a company to define the location of the mines being used by the smelter. Please enter the country of the mine(s). If the country of origin is not known, enter "Unknown". If 100% of the smelter's feedstock originates from recycled or scrap sources, enter "Recycled" or "Scrap" in place of the country of origin. This field is optional.

"RCOI confirmed as per RMI" may be an acceptable answer to this question.

15. Indicates whether the smelter solely obtains inputs for its smelting process(es) from recycled or scrap sources. This question is optional. Permissible responses to this question are:

- Yes
- No
- Unknown

16. Comments – free form text field to enter any comments concerning the smelter. Example: smelter is being acquired by Company YYY

TERMS AND CONDITIONS

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If any part of any provision of these Terms and Conditions shall be invalid or unenforceable under applicable law, said part shall be deemed ineffective to the extent of such invalidity or unenforceability only, without in any way affecting the remaining parts of said provision or the remaining provisions of these Terms and Conditions.

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[Return to declaration tab](#)

Revision 6.31 May 26, 2023

RMI website: (www.responsiblemineralsinitiative.org)

Training and guidance, template, Responsible Minerals Assurance Process conformant smelter list.



Introduction

This Conflict Minerals Reporting Template (Template) is a free, standardized reporting template created by the Responsible Minerals Initiative (RMI). The Template facilitates the transfer of information through the supply chain regarding mineral country of origin and smelters and refiners being utilized and supports compliance to legislation*. The template also facilitates the identification of new smelters and refiners to potentially undergo an audit via the Responsible Minerals Assurance Process.**

The CMRT was designed for downstream companies to disclose information about their supply chains up to but not including the smelter. If you are a 3TG smelter or refiner, in accordance with the RMAP protocols, we recommend you enter your own name in the smelter list tab.

When filling out the form, none of the cell entries should start with "=" or "#."

*** In 2010, the U.S. Dodd-Frank Wall Street Reform and Consumer Protection Act was passed concerning "conflict minerals" originating from the Democratic Republic of the Congo (DRC) or adjoining countries. The SEC published final rules associated with the disclosure of the source of conflict minerals by U.S. publicly traded companies (see the rules at <http://www.sec.gov/rules/final/2012/34-67716.pdf>). The rules reference the OECD Due Diligence Guidance for Responsible Supply Chains of Minerals from Conflict-Affected and High-Risk Areas, (<http://www.oecd.org/daf/inv/mne/GuidanceEdition2.pdf>), which guides suppliers to establish policies, due diligence frameworks and management systems.**

In 2017, Regulation (EU) 2017/821 of the European Parliament and of the European Council of 17 May 2017 was passed concerning supply chain due diligence obligations for Union importers of tin, tantalum and tungsten, their ores, and gold originating from conflict-affected and high-risk areas (see regulation at <https://eur-lex.europa.eu/legal-content/EN/TXT/PDF/?uri=OJ:L:2017:130:FULL&from=EN>)

**** See information on the Responsible Minerals Initiative (www.responsiblemineralsinitiative.org).**

Instructions for completing Company Information questions (rows 8 - 22).

Provide comments in ENGLISH only

Note: Entries with (*) are mandatory fields.

1. Insert your company's Legal Name. Please do not use abbreviations. In this field you have the option to add other commercial names, DBAs, etc.

2. Select your company's Declaration Scope. The options for scope are:

- A. Company-wide**
- B. Product (or List of Products)**
- C. User-Defined**

For "Company-wide", the declaration encompasses the entirety of a company's products or product substances produced by the parent company. Therefore if the user is reporting 3TG data at the company level, they will be reporting conflict minerals data on all products they manufacture.

For Scope selection of Product (or List of Products), a link to the worksheet tab for Product List will be displayed. If this scope is chosen, it is mandatory to list the Manufacturer's Product Number of the products covered under the Scope of this Declaration in Column B of the Product List worksheet. It is optional to list the Manufacturer's Product Name in Column C of the Product List worksheet.

For Scope selection of "User Defined", it is mandatory that the user describes the scope to which the 3TG disclosure is applicable. The scope of this class shall be defined in a text field by the supplier and should be easily understood by customers or the receivers of the document. As an example, companies may provide a link to clarifying information.

This field is mandatory.

3. Insert your company's unique identifier number or code (DUNS number, VAT number, customer-specific identifier, etc.)

4. Insert the source for the unique identifier number or code ("DUNS", "VAT", "Customer", etc).

5. Insert your full company address (street, city, state, country, postal code). This field is optional.

6. Insert the name of the person to contact regarding the contents of the declaration information. This field is mandatory.

7. Insert the email address of the contact person. If an email address is not available, state "not available" or "n/a." A blank field may cause an error in form implementation. This field is mandatory.

8. Insert the telephone number for the contact. This field is mandatory.

9. Insert the name of the person who is responsible for the contents of the declaration information. The authorizer may be a different individual than the contact person. It is not correct to use the words "same" or similar identification to provide the name of the authorizer. This field is mandatory.

10. Insert the title for the Authorizing person. This field is optional.

11. Insert the email address of the Authorizing person. If an email address is not available, state "not available" or "n/a." A blank field may cause an error in form implementation. This field is mandatory.

12. Insert the telephone number for the Authorizing person. This field is optional.

13. Please enter the Date of Completion for this form using the format DD-MMM-YYYY. This field is mandatory.

14. As an example, the user may save the file name as: companyname-date.xls (date as YYYY-MM-DD).

Instructions for completing the eight Due Diligence Questions (rows 24 - 71).

Provide answers in ENGLISH only

These eight questions define the usage, origination and sourcing identification for each of the metals. The questions are designed to collect information about the use of 3TG in the company's product(s) to allow for the determination of regulatory applicability. Responses to these questions shall represent the 'Declaration Scope' selected in the company information section. The responses to the questions in this section can be used to determine applicability and completeness of 3TG reporting.

For each of the eight required questions, provide an answer for each metal using the pull down menu selections. The questions in this section must be completed for all 3TG. If the response for a given metal to questions 1 and 2 is positive, then the subsequent questions shall be completed for that metal and the following due diligence questions (A to H) shall be completed about the company's overall due diligence program.

1. This is the first of two questions for which the response is used to determine whether the 3TG is within the scope of conflict minerals reporting requirements. This question relies upon the guidance provided by the SEC in the final rules regarding the determination if a 3TG is "necessary to the functionality or production" of a product. The SEC guidance is based upon the presumption that a company in the supply chain for a product would not intentionally add a 3TG to that product or any of a product's sub-components if that 3TG was not necessary to the product's generally expected function, use, or purpose. Similarly, the guidance presumes that a 3TG would not be necessary to the production of a product unless it was intentionally included in the production process of that product. The response to this question serves to exclude any trace-level contaminants or naturally-occurring by-products such as tin in steel. This question shall be answered for each 3TG.

This question asks if any conflict minerals are used as raw material, component or additive in a product that you manufacture or contract to manufacture (including raw material and components). Impurities from raw materials, components, additives, abrasives, and cutting tools are outside the scope of the survey.

This question shall be answered for each 3TG. Valid responses to this question are either "yes" or "no". This question is mandatory.

Some companies may require substantiation for a "No" answer that should be entered into the Comment Field.

2. This question shall be answered for each 3TG for each the answer to question 1 is "yes." This is the second of two questions for which the response is used to determine whether the 3TG is within the scope of conflict minerals reporting requirements as described in the SECs final rules regarding the determination if a 3TG is necessary to the functionality or production of a product. This question is dependent upon the question and response to Question 1. This question is intended to identify 3TGs which are intentionally added or included in the manufacturing process of a product where some amount of the 3TG remains in the finished product. This includes 3TGs which may not have been intended to become part of the final product and may not be necessary to the functionality of the product but are only present as residuals of the manufacturing process. In many cases, the manufacturer may have attempted to remove or facilitate consumption of the 3TG during the manufacturing process, however, some amount of the 3TG remains. Should the 3TG, which is added or included during the manufacturing process, be completely removed such that none of the 3TG remains upon the completion of that process, the response to this question would be no.

This question shall be answered for each 3TG. Valid answers to this question are either "yes" or "no". This question is mandatory.

3. This is a declaration that any portion of the 3TGs contained in a product or multiple products originates from the DRC or an adjoining country (covered countries). The answer to this question should be "yes" if any smelter in the supply chain sources from the covered countries, even if those smelters are on the RMI conformant smelter and refiner list. For more information, see RMI's due diligence guidance on conflict minerals here: <http://www.responsiblemineralsinitiative.org/training-and-resources/publications-and-guidance/>.

The answer to this question shall be "yes", "no", or "unknown". It is recommended to substantiate a "Yes" answer in the comments section.

This question is mandatory for a specific metal if the response to Question 1 and 2 is "Yes" for that metal.

4. This is a declaration that any portion of the 3TGs contained in a product or multiple products originates from conflict-affected and high-risk areas (CAHRAs).

The answer to this question should be "yes" if any smelter in the supply chain sources from covered countries or CAHRAs, even if those smelters are on the RMI conformant smelter and refiner list. For more information, see RMI's due diligence guidance on conflict minerals here: <http://www.responsiblemineralsinitiative.org/training-and-resources/publications-and-guidance/>.

The answer to this question shall be yes, no or unknown. It is recommended to substantiate a "yes" answer in the comments section. This question is mandatory for a specific metal if the response to Question 1 and 2 is "yes" for that metal.

5. This is a declaration that identifies whether 3TGs contained in the product(s) necessary to the functionality of that product(s) originate from recycled or scrap sources.

The answer to this question shall be "yes", "no", or "unknown". This question is mandatory for a specific metal if the response to Question 1 and 2 is "Yes" for that metal.

A "Yes" answer means that 100% of the 3TG comes from recycled or scrap sources. A "No" answer means that some of the 3TG does not come from recycled or scrap sources. An "Unknown" answer means that the user does not know whether or not 100% of the 3TG comes from recycled or scrap sources.

6. This is a question to determine whether a company has received conflict minerals disclosures from all direct suppliers reasonably believed to be providing 3TGs contained in the products covered by the scope of this declaration. Permissible responses to this question are:

- 100%
- Greater than 90%
- Greater than 75%
- Greater than 50%
- 50% or less
- None

This question is mandatory for a specific metal if the response to Question 1 and 2 is "Yes" for that metal.

7. This question verifies if the supplier has reason to believe they have identified all of the smelters providing 3TGs in the products covered by this declaration. The answer to this question shall be "Yes" or "No", along with a comment in certain cases, e.g. list of smelters.

~~This question is mandatory for a specific metal if the response to Question 1 and 2 is "Yes" for that metal.~~

8. This question verifies that all of the smelters identified to be providing any of the 3TGs contained in the products covered by the scope of this declaration have been reported in this declaration. The answer to this question shall be "yes" or "no" along with a comment in certain cases, e.g. list of smelters. This question is mandatory for a specific metal if the response to Question 1 and 2 is "Yes" for that metal.

Provide comments in the Comment sections as required to clarify your responses.

**Instructions for completing Questions A. – H. (rows 75 - 89). Questions A. through H. are mandatory if the both of responses to Question 1 and 2 are "Yes" for any metal.
Provide answers in ENGLISH only**

The OECD Due Diligence Guidance for Responsible Supply Chains of Minerals from Conflict-affected and High-risk Areas (OECD Guidance) defines "Due Diligence" as "an on-going, proactive and reactive process through which companies can ensure that they respect human rights and do not contribute to conflict". Due diligence should be an integral part of your company's overall conflict free sourcing strategy. Questions A. thru H. are designed to assess your company's conflict-free minerals sourcing due diligence activities. Responses to these questions shall represent the full scope of your company's activities and shall not be limited to the 'Declaration Scope' selected in the company information section.

A. This is a declaration to disclose whether a company has a responsible minerals sourcing policy. The answer to this question shall be "yes" or "no." Comments shall be captured in a question comment field.

This question is mandatory.

B. This is a declaration to disclose whether a company's responsible minerals sourcing policy is available on the company website. The answer to this question shall be "yes" or "no." If "Yes" the user shall specify the URL in a question comment field.

This question is mandatory.

C. This is a declaration to determine whether a company requires their direct suppliers to source 3TG from validated smelters. The answer to this question shall be "yes" or "no." Comments should be captured in a question comment field.

This question is mandatory.

D. Please answer "yes" or "no" to disclose whether your company has implemented responsible sourcing due diligence measures. This declaration is not intended to provide the details of a company's due diligence measures - just that a company has implemented due diligence measures. The aspects of acceptable due diligence measures shall be determined by the requestor and supplier.

Examples of due diligence measures may include: communicating and incorporating into contracts (where possible) your expectations to suppliers on responsible mineral supply chain; identifying and assessing risks in the supply chain; designing and implementing a strategy to respond to identified risks; verifying your direct supplier's compliance to its responsible minerals sourcing policy, etc. These due diligence measure examples are consistent with the guidelines included in the internationally recognized OECD Guidance.

This question is mandatory.

E. This is a question to disclose whether a company requests their supplier to fill out a conflict minerals declaration. Acceptable answers are listed below, in certain cases further explanation may be required, i.e., to provide the format used for collecting information. If the answer is "Yes," using other format the user shall provide a comment in a question comment field. Permissible responses to this question are:

- Yes, in conformance with IPC-1755 [e.g., CMRT]
- Yes, using other format (describe)
- No

This question is mandatory.

F. Please answer "Yes" or "No". In the comments section, you can provide additional information on your approach. Examples could be:

"3rd party audit" - on-site audits of your suppliers conducted by independent third parties.

"Documentation review only" - a review of supplier submitted records and documentation conducted by independent third parties and, or your company personnel.

"Internal audit" - on-site audits of your suppliers conducted by your company personnel.

This question is mandatory.

G. This is a question to disclose whether a company's review process includes corrective action management. The answer to this question shall be "yes" or "no." Comments shall be captured in a question comment field.

This question is mandatory.

H. This is a question to disclose whether a company is subject to the SEC rule, the EU regulation, or both. The answer to this question shall be "yes, with the SEC", "yes, with the EU", "yes with the SEC and the EU" or "no." Comments shall be captured in a question comment field. This question is mandatory. For more information on the SEC rule, please refer to www.sec.gov. For more information on the EU regulation, please refer to <https://eur-lex.europa.eu/legal-content/EN/TXT/PDF/?uri=CELEX:32017R0821&from=EN>.

Instructions for completing the Smelter List Tab.

Provide answers in ENGLISH only

Note: Columns with (*) are mandatory fields

This template allows for smelter identification using the Smelter Look-up. Columns B, and C must be completed in order from left to right to utilize the Smelter Look-up feature.

Use a separate line for each metal/smelter/country combination.

1. Smelter Identification Input Column - If you know the Smelter Identification Number, input the number in Column A (columns B, C, E, F, G, I, and J will auto-populate). Column A does not autopopulate.

2. Metal (*) - Use the pull down menu to select the metal for which you are entering smelter information. This field is mandatory.

3. Smelter Look-up (*) - Select from dropdown. This is the list of known smelters as of template release date. If smelter is not listed select 'Smelter Not Listed'. This will allow you to enter the name of the smelter in Column D. If you do not know the name or location of the smelter, select 'Smelter Not Yet Identified.' For this option, columns D and E will autopopulate to say, 'unknown.' This field is mandatory.

4. Smelter Name (1)- Fill in smelter name if you selected "Smelter Not Listed" in column C. This field will auto-populate when a smelter name is selected in Column C. This field is mandatory.

5. Smelter Country (*) – This field will auto-populate when a smelter name is selected in column C. If you selected "Smelter Not Listed" in column C, use the pull down menu to select the country location of the smelter. This field is mandatory.

6. Smelter Identification - This is a unique identifier assigned to a smelter or refiner according to an established smelter and refinery identification system. It is expected that multiple names or aliases could be used to describe a single smelter or refiner and therefore multiple names or aliases could be associated to a single 'Smelter ID'.

7. Source of Smelter Identification Number - This is the source of the Smelter Identification Number entered in Column F. If a smelter name was selected in Column C using the dropdown box, this field will auto-populate.

8. Smelter Street - Provide the street name on which the smelter is located. This field is optional.

9. Smelter City – Provide the city name of where the smelter is located. This field is optional.

10. Smelter Location: State/Province, if applicable – Provide the state or province where the smelter is located. This field is optional.

11. Smelter Contact Name – The Conflict Minerals Reporting Template (CMRT) is circulated among companies in the requesting company's supply chain to ensure compliance with the OECD Due Diligence Guidance for Responsible Supply Chains of Minerals from Conflict-Affected and High-Risk Areas and the U.S. Securities and Exchange Commission Final Rule on conflict minerals.

If the template is circulated in a country where laws protecting personal information exist, sharing personal contact information in the CMRT may violate related regulations. Therefore, it is recommended that the requesting company take precautions such as obtaining the contact person's permission to share the information with other companies in the supply chain when completing "Smelter Contact Name" and the "Smelter Contact Email" columns.

If you have permission to share this information, please fill in the name of the Smelter Facility Contact person who you worked with.

12. Smelter Contact Email – Fill in the email address of the Smelter Facility contact person who was identified as the Smelter Contact Name. Example: John.Smith@SmelterXXX.com. Please review the instructions for Smelter Contact Name before completing this field.

13. Name of Mine(s) - This field allows a company to define the actual mines being used by the smelter. Please enter the actual mine names if known. If 100% of the smelter's feedstock originates from recycled or scrap sources, enter "Recycled" or "Scrap" in place of the name of the mine and answer "Yes" in Column P.

"RCOI confirmed as per RMI" may be an acceptable answer to this question.

14. Location (Country) of Mine(s) - This is a free form text field that allows a company to define the location of the mines being used by the smelter. Please enter the country of the mine(s). If the country of origin is not known, enter "Unknown". If 100% of the smelter's feedstock originates from recycled or scrap sources, enter "Recycled" or "Scrap" in place of the country of origin. This field is optional.

"RCOI confirmed as per RMI" may be an acceptable answer to this question.

15. Indicates whether the smelter solely obtains inputs for its smelting process(es) from recycled or scrap sources. This question is optional. Permissible responses to this question are:

- Yes
- No
- Unknown

16. Comments – free form text field to enter any comments concerning the smelter. Example: smelter is being acquired by Company YYY

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[Return to declaration tab](#)

Revision 6.31 May 26, 2023



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Conflict Minerals Reporting Template (CMRT)

English

Revision 6.31

May 26, 2023

The purpose of this document is to collect sourcing information on tin, tantalum, tungsten and gold used in products

[Link to Terms & Conditions](#)

Mandatory fields are noted with an asterisk (*). Consult the instructions tab for guidance on how to answer each question.

Company Information

Company Name (*):	Summit Interconnect - Toronto	
Declaration Scope or Class (*):	A. Company	
Description of Scope:		
Company Unique ID:		
Company Unique ID Authority:		
Address:		
Contact Name (*):	Alan Ng	
Email - Contact (*):	alan.ng@summitinterconnect.com	
Phone - Contact (*):	905-475-6658	
Authorizer (*):	Alan Ng	
Title - Authorizer:	QA Mgr.	
Email - Authorizer (*):	alan.ng@summitinterconnect.com	
Phone - Authorizer:		
Effective Date (*):	30-Nov-2023	

Answer the following questions 1 - 8 based on the declaration scope indicated above

1) Is any 3TG intentionally added or used in the product(s) or in the production process? (*)	Answer	Comments
Tantalum	No	
Tin (*)	Yes	
Gold (*)	Yes	
Tungsten	No	

2) Does any 3TG remain in the product(s)? (*)	Answer	Comments
Tantalum		



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Tin (*)	Yes	
Gold (*)	Yes	
Tungsten	Yes	

3) Do any of the smelters in your supply chain source the 3TG from the covered countries? (SEC term, see definitions tab) (*)

Tantalum	Answer	Comments
Tin (*)	No	
Gold (*)	No	
Tungsten	No	

4) Do any of the smelters in your supply chain source the 3TG from conflict-affected and high-risk areas? (*)

Tantalum	Answer	Comments
Tin (*)	No	
Gold (*)	No	
Tungsten	No	

5) Does 100 percent of the 3TG (necessary to the functionality or production of your products) originate from recycled or scrap sources? (*)

Tantalum	Answer	Comments
Tin (*)	No	
Gold (*)	No	
Tungsten	No	



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Mandatory fields are noted with an asterisk (*). Consult the instructions tab for guidance on how to answer each question.

6) What percentage of relevant suppliers have provided a response to your supply chain survey? (*)

	Tantalum	Answer	Comments
	Tin (*)	100%	
	Gold (*)	100%	
	Tungsten	100%	

7) Have you identified all of the smelters supplying the 3TG to your supply chain? (*)

	Tantalum	Answer	Comments
	Tin (*)	Yes	
	Gold (*)	Yes	
	Tungsten	Yes	



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Mandatory fields are noted with an asterisk (*). Consult the instructions tab for guidance on how to answer each question.

8) Has all applicable smelter information received by your company been reported in this declaration? (*)

	Answer	Comments
Tantalum		
Tin (*)	Yes	
Gold (*)	Yes	
Tungsten	Yes	

Answer the Following Questions at a Company Level

Question	Answer	Comments
A. Have you established a responsible minerals sourcing policy? (*)	Yes	
B. Is your responsible minerals sourcing policy publicly available on your website? (Note – If yes, the user shall specify the URL in the comment field.) (*)	No	
C. Do you require your direct suppliers to source the 3TG from smelters whose due diligence practices have been validated by an independent third party audit program? (*)	No	
D. Have you implemented due diligence measures for responsible sourcing? (*)	Yes	
E. Does your company conduct Conflict Minerals survey(s) of your relevant supplier(s)? (*)	Yes, in conformance with IPC1755 (e.g., C	
F. Do you review due diligence information received from your suppliers against your company's expectations? (*)	Yes	
G. Does your review process include corrective action management? (*)	Yes	



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Revision 6.31
May 26, 2023

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The purpose of this document is to collect sourcing information on tin, tantalum, tungsten and gold used in products

Mandatory fields are noted with an asterisk (*). Consult the instructions tab for guidance on how to answer each question.

H. Is your company required to file an annual conflict minerals disclosure? (*)

No

Option A: If you know the Smelter Identification Number, input the number in Column A (columns B, C, E, F, G, I and J will auto-populate); D will grey out.

Option B: If you have a Metal and Smelter Look-up name combination, complete the following steps:
Step 1. Select Metal in column B
Step 2. Select from dropdown in column C (wrong combination will trigger RED color)

Option C: If you have a Metal and Smelter Name combination, complete the following steps:
Step 1. Select Metal in column B
Step 2. Select "Smelter Not Listed" in the Smelter Look-up drop down and complete columns D & E
Step 3. Enter all available smelter information in columns H through Q

(*) Mandatory fields are noted with an asterisk.

(1) Entry required when Smelter Look-up = "Smelter not listed"



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May 26, 2023

Smelter Identification Number Input Column	Metal (*)	Smelter Look-up (*)	Smelter Name (1)	Smelter Country (*)	Smelter Identification	Source of Smelter Identification Number	Smelter Street	Smelter City	Smelter Facility Location: State / Province	Smelter Contact Name	Smelter Contact Email	Proposed next steps	Name of Mine(s) or if recycled or scrap sourced, enter "recycled" or "scrap"	Location (Country) of Mine(s) or if recycled or scrap sourced, enter "recycled" or "scrap"	Does 100% of the smelter's feedstock originate from recycled or scrap sources?	Comments
CID002773	Tin	Aurubis Beerse		BELGIUM	CID002773	RMI	0	Beerse	Antwerpen							
CID001173	Tin	Mineracao Taboca S.A.		BRAZIL	CID001173	RMI	0	Sao Paulo	Sao Paulo							
CID002036	Tin	White Solder Metalurgia e Mineracao Ltda.		BRAZIL	CID002036	RMI	0	Ariquemes	Rondonia							
CID000924	Gold	Asahi Refining Canada Ltd.		CANADA	CID000924	RMI	0	Brampton	Ontario							
CID001534	Gold	Royal Canadian Mint		CANADA	CID001534	RMI	0	Ottawa	Ontario							
CID000711	Gold	Thermax Germany GmbH		GERMANY	CID000711	RMI	0	Hanau	Hessen							
CID001453	Tin	PT Mitra Stania Prima		INDONESIA	CID001453	RMI	0	Sungailiat	Kepulauan Bangka Belitung							
CID001460	Tin	PT Refined Bangka Tin		INDONESIA	CID001460	RMI	0	Sungailiat	Kepulauan Bangka Belitung							
CID001477	Tin	PT Timah Tbk Kundur		INDONESIA	CID001477	RMI	0	Kundur	Riau							
CID001482	Tin	PT Timah Tbk Mentok		INDONESIA	CID001482	RMI	0	Mentok	Kepulauan Bangka Belitung							
CID002503	Tin	PT ATD Makmur Mandiri Jaya		INDONESIA	CID002503	RMI	0	Sungailiat	Kepulauan Bangka Belitung							
CID001105	Tin	Malaysia Smelting		MALAYSIA	CID001105	RMI	0	Butterworth	Pulau Pinang							
CID001161	Gold	Metalurgica Mer-Mex		MEXICO	CID001161	RMI	0	Torreón	Coahuila de Zaragoza							
CID001182	Tin	Minisur		PERU	CID001182	RMI	0	Paracas	Ica							
CID000468	Tin	Fenix Metals		POLAND	CID000468	RMI	0	Chmielów	Podkarpackie							
CID001539	Tin	Rui Da Hung		TAIWAN, PROVINCE OF CHINA	CID001539	RMI	0	Longtan Shiang	Taiyuan							
CID001898	Tin	Thaisarco		THAILAND	CID001898	RMI	0	Amphur Muang	Phuket							
CID000292	Tin	Alpha		UNITED STATES OF AMERICA	CID000292	RMI	0	Altoona	Pennsylvania							
CID000969	Gold	Kenecott Utah Copper LLC		UNITED STATES OF AMERICA	CID000969	RMI	0	Magna	Utah							
CID001157	Gold	Metalor USA Refining Corporation		UNITED STATES OF AMERICA	CID001157	RMI	0	North Attleboro	Massachusetts							
CID001993	Gold	United Precious Metal Refining, Inc.		UNITED STATES OF AMERICA	CID001993	RMI	0	Alden	New York							
CID001477	Tin	PT Timah Tbk Kundur		INDONESIA	CID001477	RMI	0	Kundur	Riau							
CID001482	Tin	PT Timah Tbk Mentok		INDONESIA	CID001482	RMI	0	Mentok	Kepulauan Bangka Belitung							
CID002036	Tin	White Solder Metalurgia e Mineracao Ltda.		BRAZIL	CID002036	RMI	0	Ariquemes	Rondonia							
CID001182	Tin	Minisur		PERU	CID001182	RMI	0	Paracas	Ica							
CID001460	Tin	PT Refined Bangka Tin		INDONESIA	CID001460	RMI	0	Sungailiat	Kepulauan Bangka Belitung							
CID000468	Tin	Fenix Metals		POLAND	CID000468	RMI	0	Chmielów	Podkarpackie							
CID001539	Tin	Rui Da Hung		TAIWAN, PROVINCE OF CHINA	CID001539	RMI	0	Longtan Shiang	Taiyuan							
CID002503	Tin	PT ATD Makmur Mandiri Jaya		INDONESIA	CID002503	RMI	0	Sungailiat	Kepulauan Bangka Belitung							
CID000402	Tin	Dowa		JAPAN	CID000402	RMI	0	Kosaka	Akita							
CID002773	Tin	Aurubis Beerse		BELGIUM	CID002773	RMI	0	Beerse	Antwerpen							
CID001399	Tin	PT Artha Cipta Langgeng		INDONESIA	CID001399	RMI	0	Sungailiat	Kepulauan Bangka Belitung							
CID002835	Tin	PT Menara Cipta Mulia		INDONESIA	CID002835	RMI	0	Mentawai	Kepulauan Bangka Belitung							
CID001458	Tin	PT Prima Timah Utama		INDONESIA	CID001458	RMI	0	Pangkal Pinang	Kepulauan Bangka Belitung							
CID001191	Tin	Mitsubishi Materials Corporation		JAPAN	CID001191	RMI	0	Tokyo	Tokyo							
CID001337	Tin	Operaciones Metalurgicas S.A.		BOLIVIA (PLURINATIONAL STATE OF)	CID001337	RMI	0	Oruro	Oruro							
CID000538	Tin	Gejiu Non-Ferrous Metal Processing Co., Ltd.		CHINA	CID000538	RMI	0	Gejiu	Yunnan Sheng							
CID001070	Tin	China Tin Group Co., Ltd.		CHINA	CID001070	RMI	0	Laibin	Guangxi Zhuangzu Zizhiqu							
CID002158	Tin	Yunnan Chengfeng Non-ferrous Metals Co., Ltd.		CHINA	CID002158	RMI	0	Gejiu	Yunnan Sheng							
CID003116	Tin	Guangdong Hanhe Non-Ferrous Metal Co., Ltd.		CHINA	CID003116	RMI	0	Chaozhou	Guangdong Sheng							
CID002517	Tin	O.M. Manufacturing Philippines, Inc.		PHILIPPINES	CID002517	RMI	0	Rosario	Cavite							
CID001314	Tin	O.M. Manufacturing (Thailand) Co., Ltd.		THAILAND	CID001314	RMI	0	Nongkham	Chon Buri							
CID001453	Tin	PT Mitra Stania Prima		INDONESIA	CID001453	RMI	0	Sungailiat	Kepulauan Bangka Belitung							
CID001142	Tin	Metallic Resources, Inc.		UNITED STATES OF AMERICA	CID001142	RMI	0	Twinsburg	Ohio							
CID001231	Tin	Jiangxi New Nanshan Technology Ltd.		CHINA	CID001231	RMI	0	Ganzhou	Jiangxi Sheng							
CID002774	Tin	Aurubis Berango		SPAIN	CID002774	RMI	0	Berango	Basque							
CID000228	Tin	Chenzhou Yunxiang Mining and Metallurgy Co., Ltd.		CHINA	CID000228	RMI	0	Chenzhou	Hunan Sheng							
CID003325	Tin	Tin Technology & Refining		UNITED STATES OF AMERICA	CID003325	RMI	0	West Chester	Pennsylvania							
CID003381	Tin	PT Rajawali Rimba Perkasa		INDONESIA	CID003381	RMI	0	Tukak Sadai	Kepulauan Bangka Belitung							
CID001468	Tin	PT Stanindo Inti Perkasa		INDONESIA	CID001468	RMI	0	Pangkal Pinang	Kepulauan Bangka Belitung							
CID001406	Tin	PT Babel Surya Alam Lestari		INDONESIA	CID001406	RMI	0	Badau	Kepulauan Bangka Belitung							
CID001490	Tin	PT Tinindo Inter Nusa		INDONESIA	CID001490	RMI	0	Pangkal Pinang	Kepulauan Bangka Belitung							

CID003205	Tin	PT Bangka Serumpun		INDONESIA	CID003205	RMI	0	Pangkalpinang	Kepulauan Bangka Belitung							
CID003325	Tin	Tin Technology & Refining		UNITED STATES OF AMERICA	CID003325	RMI	0	West Chester	Pennsylvania							
CID001337	Tin	Operaciones Metalurgicas S.A.		BOLIVIA (PLURINATIONAL STATE OF)	CID001337	RMI	0	Oruro	Oruro							
CID002158	Tin	Yunnan Chengfeng Non-ferrous Metals Co., Ltd.		CHINA	CID002158	RMI	0	Gejiu	Yunnan Sheng							
CID001142	Tin	Metallic Resources, Inc.		UNITED STATES OF AMERICA	CID001142	RMI	0	Twinsburg	Ohio							
CID003116	Tin	Guangdong Hanhe Non-Ferrous Metal Co., Ltd.		CHINA	CID003116	RMI	0	Chaozhou	Guangdong Sheng							
CID001070	Tin	China Tin Group Co., Ltd.		CHINA	CID001070	RMI	0	Laibin	Guangxi Zhuangzu Zizhiqu							
CID000538	Tin	Gejiu Non-Ferrous Metal Processing Co., Ltd.		CHINA	CID000538	RMI	0	Gejiu	Yunnan Sheng							
CID001191	Tin	Mitsubishi Materials Corporation		JAPAN	CID001191	RMI	0	Tokyo	Tokyo							

To ensure all required fields have been populated before submitting to your customer review form for any line items highlighted in red
[Click here to return to Declaration tab](#)

Required fields remaining to
be completed



Product Fields	Answer provided	Notes	Hyperlink to source
Company Name (*)	Summit Interconnect - Toronto	Complete	
Declaration Scope or Class (*)	A. Company	Complete	
		Complete	
Contact Name (*)	Alan Ng	Complete	
Email - Contact (*)	alan.ng@summitinterconnect.com	Complete	
Phone - Contact (*)	905-475-6859	Complete	
Address (*)	Alan Ng	Complete	
Email - Addresser (*)	alan.ng@summitinterconnect.com	Complete	
Effective Date (*)	20-Nov-2023	Complete	
1) Do any of the suppliers in your supply chain provide the 315 from conflict affected and high risk areas? (*)			
Tariff item	No	Complete	
Yes (*)	Yes	Complete	
No (*)	Yes	Complete	
Tariff item	No	Complete	
2) Does any 315 remain in the product? (*)			
Tariff item		Complete	
Yes (*)	Yes	Complete	
No (*)	Yes	Complete	
Tariff item		Complete	
3) Do any of the suppliers in your supply chain provide the 315 from the conflict affected (CAF) item, see definition table? (*)			
Tariff item		Complete	
Yes (*)	No	Complete	
No (*)	No	Complete	
Tariff item		Complete	
4) Do any of the suppliers in your supply chain provide the 315 from conflict affected and high risk areas? (*)			
Tariff item		Complete	
Yes (*)	No	Complete	
No (*)	No	Complete	
Tariff item		Complete	
5) Does 100 percent of the 315 commodity in the commodity or products of your priority programs contain conflict-free minerals? (*)			
Tariff item		Complete	
Yes (*)	No	Complete	
No (*)	No	Complete	
Tariff item		Complete	
6) What percentage of related suppliers have provided a response to your supply chain request? (*)			
Yes (*)	100%	Complete	
No (*)	100%	Complete	
Tariff item		Complete	
7) Have you identified all of the suppliers supplying the 315 in your supply chain? (*)			
Tariff item		Complete	
Yes (*)	Yes	Complete	
No (*)	Yes	Complete	
Tariff item		Complete	
8) Have all applicable supplier information received by your company been reported to the declaration? (*)			
Tariff item		Complete	
Yes (*)	Yes	Complete	
No (*)	Yes	Complete	
Tariff item		Complete	
9) Have you established a responsible minerals sourcing policy? (*)	Yes	Complete	
10) Is your responsible minerals sourcing policy publicly available on your website? (Yes - if yes, the user must attach the link in the comment field) (*)		Complete	
11) Is the link in the comment field?		Complete	
12) Do you require your direct suppliers to ensure the 315 from conflict areas are always processed from been validated by an independent third party audit? (Required) (*)	No	Complete	
13) Have you implemented due diligence measures for responsible sourcing? (*)	Yes	Complete	
14) Does your company conduct Conflict Minerals searches of your related suppliers? (*)	Yes, in accordance with JC1755 (e.g., CNR)	Complete	
15) Do you review due diligence information received from your supplier against your company's policies? (*)	Yes	Complete	
16) Does your review process include corrective action management? (*)	Yes	Complete	
17) Is your company required to file an annual conflict minerals disclosure? (*)	No	Complete	
Product ID#	No products or item numbers listed	Complete	
Supplier ID# - Tariff item		Complete	
Supplier ID# - To		Complete	
Supplier ID# - End		Complete	
Supplier ID# - Tariff item		Complete	
18) Does your "Supplier and Supplier" information, based on the last update, follow?		Yes	



Completion required only if reporting level "Product (or List of Products)" selected on the 'Declaration' worksheet.

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Manufacturer's Product Number (*)		Manufacturer's Product Name	Comments